## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Kozo WADA	)	
Application No.: Unassigned	)	Group Art Unit: Unassigned
Filed: January 22, 2004	)	Examiner: Unassigned
For: OPTICAL DISK APPARATUS	)	
Commissioner for Patents  MAIL STOP PATENT APPLICATION		

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application. A copy of each listed document is attached.

The following are listed on the accompanying PTO-1449 and are in a language other than English.

- a. <u>Japanese Unexamined Patent Publication No. 2001-126261</u>. The relevance of this document is discussed at page 2 of the specification of the present application.
- b. <u>Japanese Unexamined Patent Publication No. 2002-008243.</u> The relevance of this document is discussed at page 2 of the specification of the present application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art."

1-WA/1661917.2 Revised 10/1/03

If it should be determined that the listed document does not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

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Date: January 22, 2004

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## Attorney Docket No. Serial No. 040894-5996 INFORMATION DISCLOSURE CITATION Unassigned (Use several sheets if necessary) Applicants Kozo WADA **PTO Form 1449** Filing Date Group January 22, 2004 Unassigned **U.S. PATENT DOCUMENTS** \*Examiner Document Sub Initial Number Date Name Class Class Filing Date **FOREIGN PATENT DOCUMENTS**

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
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Country

Japan

Japan

Date

May 11, 2001

JP 2002-008243A January 11, 2002

Examiner	Date Considered
	tation is in conformance with MPEP 609; draw line ered. Include copy of this form with next communication to

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Document

Number

JP 2001-126261A

Sub

Class

Class

**Translation** 

NO

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X

YES